

UPRVUNL CSR POLICY

Preamble

Committed to inclusive growth, energy conservation and sustainable development with special focus on the communities in the neighbourhood of its operations, UPRVUNL has set-out the following Corporate Objectives on CSR.

Corporate Objectives on CSR

- ***"To contribute to sustainable power development by discharging corporate social responsibilities"***
- ***"To lead the sector in the areas of resettlement & rehabilitation and environment protection including effective ash-utilization, peripheral development and energy conservation practices"***

UPRVUNL endeavours to improve the quality of life in neighbourhood community through various community development initiative under its CSR policy.

COMMITMENT for CSR

"UPRVUNL commits itself to contribute to the society, discharging its corporate social responsibilities through initiatives that have positive impact on society at large, especially the community in the neighbourhood of its operations by improving the quality of life of the people."

Guiding Principles

- Strive to improve standard of living of society at large, with preference to local and backward areas.
- Promote inclusive growth by focusing on needs of the deprived, under privileged, neglected and weaker sections of the society especially women, girl child, physically challenged and elderly persons.
- Contribute towards clean and sustainable development by protecting & maintaining the environment (air, soil and water), conserve natural resources.

Scope and Coverage

This Policy for CSR applies to formulation, implementation, monitoring, evaluation, documentation, reporting of CSR activities taken up by UPRVUNL.

This policy does not cover activities undertaken in pursuance of UPRVUNL's normal course of business and activities exclusively for benefit of UPRVUNL's employees or their family, as the same are not considered as CSR activities.

This policy is intended to be in conformity with the provisions of Companies Act 2013 and DPE (Department of Public Enterprises) Guidelines on CSR, if any. In case of



any contradiction between this policy and provisions of the Companies Act, the latter shall prevail.

Any new provision arising out of amendments to Companies Act, 2013 or rules made there under shall be construed to be a part of this policy. However, such new provisions shall be specifically incorporated in the policy.

Mechanism and Process

Towards fulfilment of its commitments for CSR and implementation of its Policy for CSR, mechanism adopted by UPRVUNL is as detailed below:

1.0 Structure

1.1 The Board level Corporate Social Responsibility Committee comprising at least three Directors shall recommend to the Board for approval of the policy, the amount of expenditure to be incurred on the activities and monitor from time to time the Policy for Corporate Social Responsibility approved by the Board. As on date there is no Independent Director on the Board of UPRVUNL thus the CSR Committee also does not have a Independent Director. As and when Independent Director is appointed in UPRVUNL accordingly the CSR Board level Committee shall be reconstituted.

1.2 Two-tier structure i.e. Project and Corporate Level is responsible for planning, implementation, monitoring and reporting on CSR projects.

1.3 A CSR Committee headed by Project Head shall be responsible for formulation, implementation and monitoring of CSR activities at their project.

1.4 At Head Quarter Chief Engineer (Civil-New Projects) / Chief Engineer (Civil), PPMM shall coordinate between project CSR Committee and HQ CSR Committee for their respective jurisdiction.

2.0 Programme

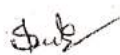
2.1 CSR programs undertaken by UPRVUNL include activities specified in Schedule VII of the Companies Act 2013 & rules made there under and any other activity for benefit of community at large. An Indicative list of CSR programs / projects of UPRVUNL is given at **Annexure-I**.

2.2 Focus areas of UPRVUNL CSR activities are Health, Sanitation, Drinking Water, Education, Capacity Building, Women Empowerment, Social Infrastructure Development, support to Physically Challenged Person (PCPs), and activities contributing towards Environment Sustainability and Energy Conservation promotion activities.

3.0 Fund Allocation & Expenditure

3.1 In line with the requirement of the Companies Act 2013 UPRVUNL will spend two percent (2.0%) of the average net profits (to be calculated in accordance with the









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provisions of the Companies Act) made during the three immediately preceding financial years, for CSR activities.

3.2 UPRVUNL endeavours to fully spend the funds annually allocated for CSR activities / projects.

3.3 Surplus arising out of any CSR projects/ activities does not form part of business profit of UPRVUNL.

3.4 The unspent CSR amount in a particular year would not lapse. It would instead be carried forward to the next year for utilization for CSR activities only.

4.0 Planning & Implementation

4.1 UPRVUNL shall undertake CSR projects/activities with a view to achieve improvement in living standard of communities. Accordingly plans will be made to achieve these goals and activities to be undertaken at each location shall be identified.

4.2 CSR projects/activities shall be generally formulated based on Need Assessment Surveys (NAS) and/or inputs from Panchayat, District Administration,

4.3 Every effort shall be made to ensure that approved activities are implemented within schedule. Once an activity is approved and funds are allocated, the activity is continued and is carried forward in subsequent years until completed.

4.4 CSR activities shall be implemented through specialised and experienced agencies such as Government /Semi-Govt. Organizations, Non Government Organizations (NGO), Professional Consultancy Organizations, Contracting Agencies etc.

5.0 Monitoring, Evaluation & Reporting

5.1 Monitoring

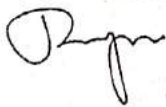
Monitoring is done to ensure timely completion of activities and to achieve deliverables. Regular reviews are done at Project Level, wherein bottlenecks are identified and remedial measures are taken. Periodic MIS on status and issues of CSR activities is put up to appropriate level and intervention is sought wherever required. Review of companywide CSR activities and budget utilization is done at Corporate level.

5.2 Evaluation:

Effectiveness of CSR programme is assessed through both internal & external evaluation. Internal audits are carried out to verify effectiveness of implementation.

5.3 Reporting

An Annual Report on CSR containing details about the CSR policy and programmes implemented by UPRVUNL shall be included in the Board of Directors' Report for



every FY, as per the format prescribed under the Rules to Section 135 of Companies Act, 2013. The same shall be displayed on the UPRVUNL web site also.

ANNEXURE - I

Indicative list of CSR & Sustainability projects/ programs/ activities

Item No	Schedule VII	CSR & Sustainability projects/programs/activities
i	Eradicating hunger, poverty and malnutrition, promoting preventive health care and sanitation and safe drinking water	<ul style="list-style-type: none"> • Mobile Health Clinics, Medical Camps, training/ awareness programs on health, sanitation etc. • Infrastructure/ assets for hospitals/ PHCs etc. • Support for tuberculosis (TB) Control Program/ malaria eradication and other such deceases. • Disability Rehabilitation Centre (DRC) • Community/individual toilets, drains etc. • Water supply systems like piped water, hand pumps/tube wells, bore wells, etc. • Supply of drinking water
ii	Promoting education, including special education and employment enhancing vocational skills especially among children, women, elderly and the differently abled and livelihood enhancement projects.	<ul style="list-style-type: none"> • Distribution of study materials, Scholarships/ financial assistance to meritorious students • Infrastructure/ assets in Govt./ Govt. funded and other schools that charge equivalent fees • Infrastructure for education, Skill Development Centers, Industrial Training Institutes etc. • Vocational trainings, Adult education, Skill Development Centers, Industrial Training Institutes etc. • Vocational training, Adult Education, Coaching classes, Awareness programs • Support for agriculture produce/business • Medical/ Veterinary camps for livestock
iii	Promoting gender equality, empowering women, setting up homes and hotels for women and orphans, setting up old age homes, day care centers and such other facilities for senior citizens and measures for reducing inequalities faced by socially and economically backward groups.	<ul style="list-style-type: none"> • Vocational Training • Adult Education/Awareness Programs, Workshops/ Seminars on Gender Equality • Distribution of Bicycle for women empowerment • Infrastructure development of homes/ hostels for women, orphans, old age etc. • Other measures for reducing inequalities faced by socially and economically backward groups • Activities for the benefit of armed forces veterans, war widows and their dependents.
iv	Ensuring environmental sustainability, ecological balance, protection of flora and fauna, animal welfare, agro-forestry, conservation of natural	<ul style="list-style-type: none"> • Activities for protecting & maintaining environment (Air, Soil and Water) • Initiate & support measures to conserve natural resources (by reduction, reuse and recycling), optimize usage of renewable energy, increase energy efficiency and reduce GHG emissions.

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	resources and maintaining quality of soil, air and water	<ul style="list-style-type: none"> • Awareness of Energy Conservation and providing Solar lamps / LED for general awareness. • Activities for Biodiversity Conservation, protection Fauna & Flora restoring ecological balance. • Promoting Animal Welfare & Agro Forestry. • Awareness/ training programs/ workshops, seminars on sustainable development.
v	Social business projects	<ul style="list-style-type: none"> • Construction/ Renovation of Community Centres, Bus shelters Internal/ approach Roads, culverts etc. Ponds/ lakes etc. • Renewable energy projects • Strengthening Electrical infrastructure • Other Social infrastructure
vi	Slum area development	<ul style="list-style-type: none"> • Activities for slum area development

Note* Any other activities based on need & requirement could be taken up in-line with the provision of Companies Act 2013 subject to the approval of the CSR committee and the Board of UPRVUNL.

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